## **APPENDIX NO.07**

## NOTICE OF OWNERSHIP CHANGE OF MAJOR SHAREHOLDER, INVESTOR HOLDING FROM 5% AND OVER OF THE FUND CERTIFICATE

(Promulgated together with Circular No.155/2015/TT-BTC dated 06 October 2015 of Ministry of Finance guiding announce of information on stock market)

## SOCIALIST REPUBLIC OF VIET NAM <u>Independence-Freedom-Happiness</u>

HCM, 19 August 2016

## NOTICE OF OWNERSHIP CHANGE OF MAJOR SHAREHOLDER, INVESTOR HOLDING FROM 5% AND OVER OF THE FUND CERTIFICATE

To: - State's Securities Committee

Danapha Pharmaceutical Joint Stock Company

- 1. Information on individual/organization for implementing transaction:
  - Full name of individual: Nguyen Quoc Thang
  - Nationality: Viet Nam

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- ID card: 011286377; Date of issue: 11 December 2007; Place of issue: Ha Noi City Public Security
- Address: 44A Dang Dung, Tan Dinh Ward, District 1, HCM City
- Tel: 08.54136139
- 2. The concerned people of individual/investment organization ( holding the same stocks/ fund certificate ): No
- 3. Name of stock: Stock of Danapha Pharmaceutical JSC
- 4. Transaction accounts with stocks stated at item 3: No
- 5. Quantity, rate of stocks holding before transaction: 4.072.570 stocks holding rate 41,7%
- 6. Number of stocks of conveyance (making rate of ownership changed over one percent (1%): 1.400.000 stocks (14,3%)
- 7. Quantity, rate of stocks estimated to hold after transaction performance: 2.672.570 stocks holding rate 27,4%
- 8. Quantity, rate of stocks that the concerned person holding: No
- 9. Quantity, rate of stocks that the concerned person holding after transaction performance : No
- 10. Reason for change ownership: Conveyance
- 11. Transaction date to change owning rate: From 19 August 2016 until 24 August 2016
- 12. Other important changes (if any): No

INDIVIDUAL ANNOUNCES INFORMATION (Signed and full name) Nguyen Quoc Thang